

# Hydrogen Evolution Catalyzed by Cobaloximes

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# CONSPECTUS

**N** atural photosynthesis uses sunlight to drive the conversion of energy-poor molecules ( $H_2O$ ,  $CO_2$ ) to energy-rich ones ( $O_2$ , ( $CH_2O$ )<sub>n</sub>). Scientists are working hard to develop efficient artificial photosynthetic systems toward the "Holy Grail" of solar-driven water splitting. High on the list of challenges is the discovery of molecules that efficiently catalyze the reduction of protons to  $H_2$ . In this Account, we report on one promising class of molecules: cobalt complexes with di-glyoxime ligands (cobaloximes).

Chemical, electrochemical, and photochemical methods all have been utilized to explore proton reduction catalysis by cobaloxime complexes. Reduction of a  $Co^{II}$ -diglyoxime generates a  $Co^{II}$  species that reacts with a proton source to produce a  $Co^{III}$ -hydride. Then, in a homolytic pathway, two  $Co^{III}$ -hydrides react in a bimolecular step to eliminate H<sub>2</sub>. Alternatively, in a heterolytic pathway, protonation of the  $Co^{III}$ -hydride produces H<sub>2</sub> and  $Co^{III}$ .

A thermodynamic analysis of H<sub>2</sub> evolution pathways sheds new light on the barriers and driving forces of the elementary reaction steps involved in proton reduction by Co<sup>1</sup>-diglyoximes. In combination with experimental results, this analysis shows that the barriers to H<sub>2</sub> evolution along the het-



erolytic pathway are, in most cases, substantially greater than those of the homolytic route. In particular, a formidable barrier is associated with Co<sup>III</sup>-diglyoxime formation along the heterolytic pathway.

Our investigations of cobaloxime-catalyzed  $H_2$  evolution, coupled with the thermodynamic preference for a homolytic route, suggest that the rate-limiting step is associated with formation of the hydride. An efficient water splitting device may require the tethering of catalysts to an electrode surface in a fashion that does not inhibit association of  $Co^{III}$ -hydrides.

## Introduction

In response to the current global energy crisis, scientists are going to great lengths to develop renewable resources capable of meeting projected energy demands.<sup>1,2</sup> Solar energy conversion is an area of enormous promise; using sunlight to make "solar fuels" such as H<sub>2</sub> (from H<sub>2</sub>O splitting, Figure 1) and CH<sub>3</sub>OH (by reducing CO<sub>2</sub>) is one of the Holy Grails of 21st century chemistry.<sup>3,4</sup> Splitting water is highly

desirable, because 4.92 eV is stored when two  $H_2O$  molecules are split into two  $H_2$  and  $O_2$ , but running the reaction is challenging; it involves two separate multielectron redox processes, a four-electron oxidation and a two-electron reduction.<sup>5</sup> Because sequential electron or hole transfers directly to  $H_2O$  produce extremely high-energy intermediates, an energy-efficient process requires catalysts that avoid formation of these species.<sup>6,7</sup> Coordination to metals in some cases can stabilize these energetic inter-



**FIGURE 1.** Solar energy conversion is initiated by photoexcitation  $(hv_{sun})$  of DA to \*DA, which undergoes excited-state electron transfer to yield a charge-separated state (D<sup>+</sup>A<sup>-</sup>). D<sup>+</sup> and A<sup>-</sup> drive water oxidation and proton reduction via catalysts.

mediates, thereby lowering the barriers for hydrogen evolution.<sup>8</sup> One of the best catalysts is platinum, which operates at the thermodynamic potential for  $H^+/H_2$  conversion (0 V vs SHE, pH 0).<sup>9</sup> Notable among other highly active catatlysts are [FeFe], [NiFe], and [Fe] hydrogenases,<sup>10–21</sup> which have inspired a great deal of work on synthetic metallobiomolecules aimed at mimicking proton reduction and  $H_2$  oxidation functionalities.<sup>22–31</sup>

Although the ultimate objective is to use water as a feedstock,<sup>32–38</sup> many potential H<sub>2</sub> evolving catalysts are tested for catalytic activity in organic solvents, because they often are not soluble or stable in aqueous environments. The electrocatalytic behavior of proton sources in organic solvents is markedly different from that in aqueous environments. In nonaqueous solvents, the standard potential for hydrogen evolution,  $E_{\rm HA/H_2}$ , is directly related to acid strength, and can be described by

$$E^{\circ}_{HA/H_2} = E^{\circ}_{HA/H_2} - (2.303RT/F)pK_{a,HA}$$

where  $E_{H^+/H_2}^{\circ}$  is the solvated proton/dihydrogen couple standard potential in the given solvent and  $K_{a,HA}$  is the acid dissociation constant for the proton source.<sup>39</sup> The standard potentials for hydrogen evolution from a variety of commonly used acids is given in Table 1. The overpotential for proton reduction, is the difference between the potential of catalytic activity and  $E_{HA/H_2}^{\circ}$ ; we do not expect to achieve catalysis at potentials more positive than  $E_{HA/H_2}^{\circ}$ .

Metal complexes that catalyze the reduction of protons to  $H_2$  in organic solvents<sup>40–43</sup> include (CpMo $\mu$ -S)<sub>2</sub>S<sub>2</sub>CH<sub>2</sub>,<sup>44</sup> which operates at -0.26 V vs SCE in CH<sub>3</sub>CN with (*p*-cyanoanilinium)-

**TABLE 1.**  $pK_a$  Values and Standard Potentials for Reduction of Acids in Acetonitrile

acid	pK₃ª	$E_{HA/H_{o}}^{\circ}$ (V vs SCE) <sup>c</sup>
	0.10	0.22
ΠDF <sub>4</sub> · El <sub>2</sub> U	0.1	0.23
trifluoromethanesulfonic acid	2.6	0.09
<i>p</i> -cyanoanilnium	7.6	-0.21
$TsOH \cdot H_2O$	8.0 <sup><i>b</i></sup>	-0.23
HCI · Et <sub>2</sub> O	8.9 <sup>b</sup>	-0.29
CF₃COOH	12.7 <sup>b</sup>	-0.51
[Et₃NH] <sup>+</sup>	18.7	-0.86
[Et₃NH] <sup>+</sup>	9.2 (DMF)	-0.84
acetic acid	13.2 (DMF)	-1.08

<sup>*a*</sup> Reference 39 unless noted otherwise. <sup>*b*</sup> Reference 81. <sup>*c*</sup>  $E_{HA/H_2}^{o} = E_{H^+/H_2}^{o} - 0.059pK_a$ (HA). A potential of 0.24 V vs SCE was chosen as the thermodynamic potential for  $E_{H^+/H_2}^{o}$  in acetonitrile (ref 50).



**FIGURE 2.** Cobaloxime complexes. L is typically H<sub>2</sub>O or CH<sub>3</sub>CN. BF<sub>4</sub> as a proton source, and  $[Ni(P^{Ph}_2N^{Ph}_2)_2(CH_3CN)]^{2+}$ , which is active at -0.46 V vs SCE with trifluoromethanesulfonic acid.<sup>45</sup> Cobalt complexes with diglyoxime ligands (Figure 2) also have been shown to catalyze hydrogen evolution at low overpotentials; the proton reduction chemistry of these catalysts will be highlighted in this Account.

complex	<i>E°</i> (Co <sup>III/II</sup> )	E°(Co <sup>II/I</sup> )	ref
1	~0.2 <sup>a</sup>	-0.55	48
2	$\sim 0.3^a$	-0.28	48
3a	-0.68	-1.13	59 <sup>b</sup>
3b	-0.84	-1.13	59 <sup>b</sup>
3c	-0.78	-1.09	59 <sup>b</sup>
5	-0.39	-1.1	59 <sup>b</sup>
6	-0.49	-0.8	59 <sup>b</sup>
7	-0.92	-0.99	59 <sup>b</sup>

## **Electrochemical Systems**

Connolly and Espenson first reported that Co(dmgBF<sub>2</sub>)<sub>2</sub>(L)<sub>2</sub> (**1**, dmgBF<sub>2</sub> = difluoroboryl-dimethylglyoxime) catalyzes the reduction of HCl<sub>aq</sub> using  $Cr^{2+}_{aq}$  as a stoichiometric electron donor.<sup>46</sup> Dissociation of an intermediate chloro-bridged complex, [(H<sub>2</sub>O)<sub>5</sub>Cr-Cl-Co(dmgBF<sub>2</sub>)<sub>2</sub>]<sup>+</sup>, which was formed during inner-sphere electron transfer from Cr<sup>II</sup> to Co<sup>II</sup>, produces [Co(dmgBF<sub>2</sub>)<sub>2</sub>L]<sup>-</sup> (**1**<sup>-</sup>). In the presence of acid, it is likely that the Co<sup>I</sup> anion is rapidly protonated to form a hydride, [HCo(dmgBF<sub>2</sub>)<sub>2</sub>L], which evolves H<sub>2</sub>.

Complex 1 and a related species,  $Co(dpgBF_2)_2(L)_2$  (2, dpg =difluoroboryl-diphenylglyoxime),47 were later shown electrochemically to catalyze H<sub>2</sub> evolution in CH<sub>3</sub>CN.<sup>48,49</sup> The reversible, one-electron reduction of 1 occurs at -0.55 V vs SCE in CH<sub>3</sub>CN (Table 2). Upon addition of a sufficiently strong acid, catalytic currents were observed near the Co<sup>II/I</sup> couple. Increasing acid concentration produced an increase in peak current, a slight positive shift in peak position, and loss of the return oxidation wave, with the current eventually approaching a plateau. Since  $Co^{\parallel}$  is regenerated during H<sub>2</sub> production, there is no return oxidation wave. Bulk electrolysis experiments confirmed near quantitative Faradaic yields of H<sub>2</sub>. A range of proton sources was examined: catalysis was observed with CF<sub>3</sub>COOH, HCI·Et<sub>2</sub>O, p-toluenesulfonic acid monohydrate  $(TsOH \cdot H_2O)$ ,<sup>50</sup> (*p*-cyanoanilinium)BF<sub>4</sub>, and HBF<sub>4</sub> · Et<sub>2</sub>O. Although HBF<sub>4</sub>·Et<sub>2</sub>O was shown to effect H<sub>2</sub> evolution with substantially increased rates, competitive degradation pathways of the catalyst greatly limited reaction efficiencies.

Substitution of methyl groups by electron-withdrawing phenyl substituents to form **2** shifts the Co<sup>II/I</sup> reduction potential 270 mV positive to -0.28 V vs SCE. Electrocatalysis was observed with HCl·Et<sub>2</sub>O, (*p*-cyanoanilinium)BF<sub>4</sub>, TsOH·H<sub>2</sub>O, and HBF<sub>4</sub>·Et<sub>2</sub>O, but *not* CF<sub>3</sub>COOH, with currents reaching a plateau at high acid concentrations.

Studies of cobalt difluoroboryl-diglyoximes as well as related compounds with [14]-tetraene- $N_4$  ligands led to a correlation between catalyst activity ( $H_2$  evolution) and Co<sup>II/I</sup> potentials.<sup>50</sup> Complexes with more negative reduction poten-

<b>TABLE 3.</b> Electrochemical Potentials (V vs SCE in DMF) <sup><math>a</math></sup>					
complex	<i>E°</i> (Co <sup>III/II</sup> )	<i>E°</i> (Co <sup>II/I</sup> )	ref		
3a	-0.67	-1.06	52		
3b	-0.74	-1.09	52		
4		-1.06	52		
5	-0.32	-1.06	52		
7	-0.70	-0.89	52		

 $^a$  Converted to SCE from Ag/AgCl/3 mol L<sup>-1</sup> NaCl, Fc<sup>+</sup>/Fc vs Ag/AgCl = 0.55 V in DMF (ref 52), Fc<sup>+</sup>/Fc vs SCE = 0.47 V in DMF (ref 83).

tials were able to catalyze proton reduction with weaker acids at higher rates than those with more positive reduction potentials. Metal hydride  $pK_a$  values increase as the Co<sup>II/I</sup> reduction potentials become more negative; thus the Co<sup>II/I</sup> potentials are related to Co<sup>I</sup> basicities.<sup>51</sup>

The overpotentials for proton reduction catalyzed by cobaloxime complexes can be estimated from the difference between  $E_{HA/H_2}^{\circ}$  and the potential where catalytic behavior is observed, which occurs just negative of  $E^{\circ}(Co^{IL/I})$ . Catalysts that reduce protons near the thermodynamic potential also should oxidize H<sub>2</sub> in the presence of a conjugate base. Indeed, slow oxidation of H<sub>2</sub> by **1** in the presence of [NBu<sub>4</sub>]CF<sub>3</sub>CO<sub>2</sub> has been observed. The H<sub>2</sub> evolution overpotential was calculated to be 90 mV based on the reaction equilibrium constant.<sup>50</sup>

The electrocatalytic behavior of similar cobalt diglyoximes also has been examined. Co(dmgH)<sub>2</sub>pyCl (**3a**, py = pyridine) catalyzes H<sub>2</sub> evolution at a Co<sup>II/I</sup> potential of -1.06 V vs SCE in DMF with [Et<sub>3</sub>NH<sup>+</sup>]Cl (Table 3).<sup>52</sup> Upon reduction to the Co<sup>II</sup> species, the halide ligand is labilized, while the axial pyridine stays coordinated. Substitution of the axial pyridine for 4-(dimethylamino)pyridine (**3b**) does not substantially modify the Co<sup>II/I</sup> potential, but the catalyst appears to be more electroactive.

Co(dmgH)<sub>2</sub>L<sub>2</sub> (**4**) has the same Co<sup>II/I</sup> reduction potential as **3** in DMF.<sup>52</sup> The glyoxime bridging BF<sub>2</sub> groups, produced via reaction of the complex with BF<sub>3</sub> · Et<sub>2</sub>O,<sup>53</sup> shift Co<sup>II/I</sup> potentials to values ~0.5 V more positive than their corresponding hydrogen-bridged counterparts but require stronger acids for electrocatalytic hydrogen evolution. The bridging BF<sub>2</sub> groups also increase the stability of the catalysts in acidic solutions.

### **Photochemical Systems**

Catalytic hydrogen production can be driven directly with light when a sacrificial electron donor is present to scavenge the oxidized photosensitizer.<sup>54,55</sup> Photosensitizers can act as both light harvesters and photoreductants; quenching their electronic excited states by electron transfer, directly to a catalyst or via an electron mediator, generates the reduced catalyst. Zeissel and co-workers demonstrated a multicomponent photochemical system for homogeneous H<sub>2</sub> generation with a cobaloxime catalyst in organic solvents, utilizing



FIGURE 3. Sensitizer-cobaloxime conjugates for photocatalytic hydrogen evolution.

 $[Ru(bpy)_3]^{2+}$  (bpy = 2,2'-bipyridine) as photosensitizer, triethanolamine (TEOA) as sacrificial electron donor, and **4** as catalyst.<sup>56</sup> In DMF solution at pH 8.8, the turnover number for 1 h of irradiation (based on photosensitizer concentration) was 38.

Among other work of note,<sup>57</sup> Eisenberg and co-workers have shown that **3a** catalyzes H<sub>2</sub> evolution with a platinum(II) terpyridyl phenylacetylide complex, [Pt(ttpy)(C=CPh)]<sup>+</sup> (ttpy = 4'-*p*-tolylterpyridine), as photosensitizer and TEOA as a donor in 3:2 (v/v) CH<sub>3</sub>CN/H<sub>2</sub>O solutions between pH 7 and pH 12.<sup>58</sup> At pH 8.5 with 0.27 M TEOA, 1000 turnovers were achieved after 10 h irradiation ( $\lambda > 410$  nm).

Other cobaloxime catalysts with varying axial bases (**3a**–**c**, **5**–**7**) and Pt(II) acetylide photosensitizers have been investigated.<sup>59</sup> With **3a** as catalyst, 2150 turnovers of H<sub>2</sub> were achieved after 10 h irradiation ( $\lambda > 410$  nm) in a 24:1 (v/v) CH<sub>3</sub>CN/H<sub>2</sub>O mixture at pH 8.5. Systems also have been reported in which organic chromophores replaced noble metal photosensitizers.<sup>60</sup> In 12 h of irradiation ( $\lambda > 450$  nm), 900 turnovers were achieved using the eosin Y photosensitizer with **3a**, TEOA, and 3 mM free dimethylglyoxime in 1:1

 $CH_3CN/H_2O$  at pH 7. Degradation of the photosensitizer/catalyst system was minimized with added dimethylglyoxime.

Fontecave and co-workers have focused on multicomponent photosystems for H<sub>2</sub> evolution with **1** and both  $[lr(ppy)_2(phen)]^+$  (ppy = 2-phenylpyridine, phen = phenanthroline) and  $[ReBr(CO)_3(phen)]$  as photosensitizers in acetone. Solutions were buffered using Et<sub>3</sub>N/Et<sub>3</sub>NH<sup>+</sup>, which provided both a sacrificial donor (Et<sub>3</sub>N) and a proton source (Et<sub>3</sub>NH<sup>+</sup>).<sup>61</sup> A quantum yield of 16% ± 1% and 273 turnovers were achieved with [ReBr(CO)<sub>3</sub>(phen)] in a 15 h experiment ( $\lambda > 380$  nm) with 600 equivalents each of Et<sub>3</sub>N and Et<sub>3</sub>NH<sup>+</sup>.

Alberto and co-workers studied a similar photosystem with **4**, employing [ReBr(CO)<sub>3</sub>(bpy)] as a photosensitizer, 1 M TEOA as sacrificial electron donor, and 0.1 M acetic acid as a proton source in DMF.<sup>62</sup> They observed 150 turnovers in 9 h irradiation ( $\lambda > 400$  nm) for the system, which had a 26%  $\pm$  2% quantum yield. Dimethylglyoxime (7.5 mM) was added to enhance catalytic activity.

Bifunctional systems (Figure 3) have been reported recently with photosensitizers coordinated directly via axial pyridine



ligands to [Co(dmgBF<sub>2</sub>)<sub>2</sub>(H<sub>2</sub>O)] and [Co(dmgH)<sub>2</sub>CI] (**S1**–**S5**).<sup>61,63</sup> Irradiation of these sensitizer–catalyst conjugates in Et<sub>3</sub>N/ Et<sub>3</sub>NH<sup>+</sup> buffered acetone solution triggers intramolecular electron transfer from photoexcited ruthenium or iridium sensitizers to the cobalt center, leading to H<sub>2</sub> evolution. Notably, these conjugates exhibited efficiencies up to 8.5 times greater than analogous multicomponent systems under the same conditions: [(ppy)<sub>2</sub>Ir((L-pyr)Co(dmgBF<sub>2</sub>)<sub>2</sub>(H<sub>2</sub>O)]<sup>+</sup> (**S5**, L-pyr = (4-pyridine)oxazolo[4,5-f]phenanthroline) managed 210 turnovers after 15 h irradiation with 600 equivalents of Et<sub>3</sub>N and Et<sub>3</sub>NH<sup>+</sup> in acetone. Li et al. studied related heterobinuclear Ru–Co systems with (**S6**) and without (**S7**) linker conjugation.<sup>64</sup> While both complexes were more active than the corresponding multicomponent systems, the one with a nonconjugated bridge exhibited more turnovers.

### **Reaction Pathways**

Pathways for proton reduction by cobalt complexes are shown in Scheme 1. Reduction to a Co<sup>I</sup> species that can be protonated to form Co<sup>III</sup>H must occur first. The hydride can react in a bimolecular step with another hydride to eliminate H<sub>2</sub> (homolytic or bimetallic route, red pathway), or it can be protonated,<sup>65</sup> release H<sub>2</sub>, and generate Co<sup>III</sup> that is subsequently reduced (heterolytic or monometallic route, blue pathway). Alternatively, the Co<sup>III</sup>H can be reduced further to yield Co<sup>II</sup>H,<sup>43</sup> which can react via an analogous homolytic (orange) or heterolytic (purple) pathway. If the Co<sup>I</sup> species is not protonated, it can be reduced further to a "Co<sup>0</sup>" species (which could be Co<sup>I</sup> with a ligand radical), which, upon protonation to form Co<sup>II</sup>H (green pathway), can react as above.

Chao and Espenson studied the reactivity of kinetically stabilized  $[HCo(dmgH)_2P(n-C_4H_9)_3]$  (**7H**) in order to ascertain the pathway of H<sub>2</sub> formation.<sup>66</sup> Interpretation of hydride reaction kinetics in the presence of acid (aqueous) required a rate expression that was both first- and second-order in **7H** concentration, suggesting parallel homolytic and heterolytic hydridocobaloxime pathways. Detailed mechanistic work indicated that the homolytic pathway predominates with a rate constant of  $1.7 \times 10^4 \text{ M}^{-1} \text{ s}^{-1}$ . Since the hydridocobaloxime protonation rate constant was found to be 0.42 M<sup>-1</sup> s<sup>-1</sup>, the heterolytic pathway is competitive only at low catalyst or high acid concentrations.

Fontecave and co-workers investigated catalysis by 1 in both DMF and CH<sub>3</sub>CN;<sup>49</sup> (*p*-cyanoanilinium)BF<sub>4</sub> was shown to give rise to irreversible cathodic waves near the Co<sup>II/I</sup> potential, indicative of electrocatalytic proton reduction. Lower current densities were seen near the same potential when CF<sub>3</sub>COOH was employed as a proton source and an additional catalytic wave appeared near -1.0 V vs SCE, which was attributed to the reduction of Co<sup>III</sup>H to Co<sup>III</sup>H and subsequent reaction. With a weak acid, [Et<sub>3</sub>NH]Cl, catalytic waves were observed near the  $Co^{1/^{r_0}}$  potential (-1.47 V vs SCE). Since  $Co^1$ is not sufficiently basic to be protonated by this acid and the thermodynamic potential for hydrogen evolution from [Et<sub>3</sub>NH]Cl lies negative of the Co<sup>II/I</sup> potential, catalysis was not seen until the second reduction. It is noted that the peak currents at these negative potentials were convoluted with direct [Et<sub>3</sub>NH]Cl reduction at the glassy carbon electrode. Modeling of the cyclic voltammetry data<sup>67</sup> suggested that reactions with (p-cyanoanilinium)BF<sub>4</sub> and CF<sub>3</sub>COOH proceed by a heterolytic proton reduction (monometallic pathway) via both Co<sup>III</sup>H and Co<sup>II</sup>H. It was concluded that with acids strong enough to protonate both Co<sup>II</sup> and Co<sup>III</sup>H (e.g., (*p*-cyanoanilinium)BF<sub>4</sub>), hydrogen evolution occurred via a heterolytic pathway. In cases where the acid is strong enough to protonate Co<sup>I</sup> but not the Co<sup>III</sup>H intermediate, the hydride is further reduced to Co<sup>II</sup>H before reacting either heterolytically or homolytically. Weak acids like [Et<sub>3</sub>NH]Cl that are unable to protonate the Co<sup>1</sup> intermediate can protonate "Co<sup>0</sup>", and H<sub>2</sub> evolution can be catalyzed through the intermediacy of a Co<sup>II</sup>H species.

Cyclic voltammograms of **1** and **2** also showed catalytic waves upon addition of TsOH  $\cdot$  H<sub>2</sub>O, as discussed above.<sup>50</sup> The shape of the catalytic wave of **1** indicated a rapid reaction limited by proton diffusion to the electrode surface. At higher concentrations of TsOH  $\cdot$  H<sub>2</sub>O, the wave approached but did not reach a plateau. A quasi-reversible peak at about -1.0 V vs SCE observed for **1** at low acid concentration was attributed to the Co<sup>III</sup>H/Co<sup>II</sup>H couple; the reduction potential was similar to that seen for cobalt(III) alkyl species.<sup>68</sup> Because catalysis at the Co<sup>III</sup>H remained low in the reaction layer near the electrode, and only small amounts of Co<sup>III</sup>H were generated under these conditions (the hydride reacts slowly with itself or with acid at low concentrations).

Catalytic waves for **2** reached a plateau at high concentrations of  $TsOH \cdot H_2O$ , indicating that catalyst reduction at the electrode is equal to the rate of reoxidation. At lower acid concentrations, a second wave observed at -0.85 V vs SCE indicated electrocatalytic hydrogen evolution by a Co<sup>II</sup>H species formed by reduction of Co<sup>III</sup>H. Because the catalytic reaction of **2** is slow compared with that of **1**, concentrations of Co<sup>III</sup>H and acid are high enough to yield catalytic behavior upon reduction to Co<sup>II</sup>H.

On the basis of digital simulations of catalytic waves of **1** in the presence of  $TsOH \cdot H_2O$ , it was concluded that bimolecular reactivity of  $Co^{III}H$  was responsible for hydrogen evolution, consistent with the findings of Chao and Espenson.<sup>66</sup> Catalytic waves of **2**, however, could be simulated equally well assuming either a heterolytic or homolytic mechanism thus neither reaction pathway has been identified as predominant. Because electrocatalysis occurred near the  $Co^{II/I}$  reduction potentials, it was concluded that pathways through  $Co^{II}H$  were unlikely, although those routes could open up at more negative potentials.

In an attempt to shed more light on  $H_2$  evolution pathways, Peters and co-workers evaluated catalysis by **1** with (*p*-cyanoanilinium)BF<sub>4</sub>, employing higher acid/catalyst ratios (>10) than in earlier work.<sup>50</sup> With ratios less than 10, these simulations were successful for both homolytic and heterolytic pathways. Over a wider range (1:1 to 40:1), however, the data could only be simulated successfully assuming a homolytic route for  $H_2$  evolution.

The overall rate constant for H<sub>2</sub> evolution was estimated directly from the plateau currents. For complexes **1** and **2**, the rate constants are  $7 \times 10^3$  and  $\sim 2 \times 10^2$  M<sup>-1</sup> s<sup>-1</sup>, respectively. In both cases, the reactions are first-order in acid concentration. On the basis of these data, it is clear that hydride formation is a key step in the catalysis of H<sub>2</sub> evolution.

Attempts to isolate a Co<sup>III</sup>H complex with glyoxime ligands have been unsuccessful, except for the kinetically stabilized phosphine-supported hydride **7H**.<sup>66</sup> Protonation of isolable Co<sup>I</sup> species with a variety of proton sources, as well as reaction of Co<sup>III</sup> halide species with borohydrides, consistently yielded Co<sup>II</sup> complexes and H<sub>2</sub>, suggesting that Co<sup>III</sup>H is extremely reactive.<sup>50</sup>

Photocatalytic hydrogen generation systems are considerably more complex than the corresponding electrocatalytic ones. Reactions in photosystems with tertiary amine sacrificial donors (TEOA or Et<sub>3</sub>N) are set out in Scheme 2. The excited photosensitizer (PS\*) can be quenched by cobaloxime, producing PS<sup>+</sup>, which reacts with  $R_2N-CH_2-R'$  to generate  $R_2N-CH_2-R'^+$ ; PS\* also can be reductively quenched by  $R_2N-CH_2-R'$ , producing PS<sup>-</sup>. Decomposition of  $R_2N-CH_2-R'^+$ yields a proton and a second reducing equivalent,

$$PS \xrightarrow{h\nu} PS^{*}$$

$$PS^{*} + Co^{II} \rightarrow PS^{*} + Co^{I}$$

$$PS^{*} + R_{2}\ddot{N} - CH_{2} - R' \rightarrow PS + R_{2}\ddot{N} - CH_{2} - R'^{+}$$

$$PS^{*} + R_{2}\ddot{N} - CH_{2} - R' \rightarrow PS^{-} + R_{2}\ddot{N} - CH_{2} - R'^{+}$$

$$PS^{-} + Co^{II} \rightarrow PS + Co^{I}$$

$$R_{2}\ddot{N} - CH_{2} - R'^{+} \rightarrow H^{+} + R_{2}\ddot{N} - CH - R'$$

$$R_{2}\ddot{N} - CH - R' + Co^{II} \rightarrow R_{2}N^{+} = CH - R' + Co^{I}$$

$$Co^{I} + H^{+} \rightarrow Co^{II}H$$

$$PS^{-} + Co^{III}H \rightarrow PS + Co^{II}H$$

$$PS^{*} + Co^{III}H \rightarrow PS^{+} + Co^{II}H$$

$$R_{2}\ddot{N} - CH - R' + Co^{III}H \rightarrow R_{2}N^{+} = CH - R' + Co^{II}H$$

$$R_{2}\ddot{N} - CH - R' + Co^{III}H \rightarrow R_{2}N^{+} = CH - R' + Co^{II}H$$

$$R_{2}N^{+} = CH - R' - glycoaldehyde and di(ethanol)amine$$

 $^a$  The Co<sup>III</sup>H and Co<sup>III</sup>H intermediates may react via homolytic and heterolytic reaction pathways (Scheme 1) to evolve H<sub>2</sub>. Similar pathways exist with Et<sub>3</sub>N as a sacrificial electron donor.

 $R_2N-CH-R'$ . Co<sup>II</sup> can be reduced by PS\*, PS<sup>-</sup>, or  $R_2N-CH-R'$ and is then protonated to give Co<sup>III</sup>H, which can be reduced to Co<sup>II</sup>H by any of the powerful reductants. The weakly basic conditions under which these photocatalytic systems operate disfavor protonation of Co<sup>III</sup>H.

Eisenberg's work on photochemical systems using Pt<sup>II</sup> acetylide chromophores<sup>58,59</sup> and organic photosensitizers<sup>60</sup> with **3** (as well as other cobaloximes) showed that hydrogen evolution was first-order in catalyst concentration. The authors favor a monometallic route via Co<sup>II</sup>H to produce hydrogen. The  $[Re(CO)_3Br(phen)]/1/Et_3N/Et_3NH^+$  system examined by Fontecave and co-workers also exhibited a first-order dependence on catalyst concentration, although above a certain concentration, the yield decreases, likely owing to competition for light absorption between the colored catalyst and photosensitizer.<sup>61</sup> In the [Re(CO)<sub>3</sub>Br(bpy)]/**4**/TEOA/AcOH/DMF system studied by Alberto and co-workers, the observation of a second-order dependence on **4** in the rate of  $H_2$  evolution (3.7  $M^{-1}$  s<sup>-1</sup>) led to the conclusion that the reaction occurred primarily via a homolytic route, although other mechanisms were not ruled out.<sup>62</sup> The authors noted that a heterolytic process likely would require the generation of Co<sup>II</sup>H as a reactive intermediate.

# Thermodynamic Analysis of Reaction Pathways

Electrochemical hydrogen evolution catalyzed by **1**, **2**, and **3a** occurs near Co<sup>II/I</sup> potentials, where Co<sup>III</sup>H cannot be reduced further. Analysis of the barriers and driving forces associated with homolytic and heterolytic reaction pathways of Co<sup>III</sup>H suggests that the homolytic route is favored.<sup>69</sup>



FIGURE 4. Thermodynamic analysis of cobaloxime-catalyzed H<sub>2</sub> evolution pathways

As illustrated in Figure 4, the initial step in catalysis involves electron transfer from a donor (D) to Co<sup>II</sup>; the barrier to this transformation ( $\Delta G_{ET1}^{\ddagger}$ ) depends on the driving force and reorganization parameter ( $\lambda$ ). A nuclear reorganization energy of 1.4 eV has been estimated for Co<sup>II</sup>—Co<sup>I</sup> electron exchange in **2**, consistent with facile electron transfer.<sup>69</sup>

Protonation of the reduced catalyst is described by a driving force ( $\Delta G_3^\circ - \Delta G_2^\circ$ ) proportional to the pK<sub>a</sub> difference of the proton donor (HA) and Co<sup>III</sup>H. As noted above, the Co<sup>III</sup>H pK<sub>a</sub> increases as  $E^\circ$ [Co<sup>II/I</sup>] decreases,<sup>51</sup> and substantially stronger acids are required to protonate the more easily reduced complexes. More strongly reducing Co<sup>I</sup> intermediates produce substantially stabilized Co<sup>III</sup>H species upon protonation by strong acids. The extent of stabilization of the hydride will directly affect its tendency to produce H<sub>2</sub>. Weaker Co–H bonds are correlated with low-barrier homolytic cleavage to eliminate H<sub>2</sub>.<sup>8</sup> The hydridicity of the hydride, however, will facilitate reaction with a proton source in heterolytic H<sub>2</sub> production. The free energy of hydride transfer depends on the hydride pK<sub>a</sub> and the Co<sup>III/II</sup> and Co<sup>II/I</sup> reduction potentials: a lower pK<sub>a</sub> corresponds to a better hydride donor.<sup>70</sup>

The driving force for the overall hydrogen evolution reaction ( $\Delta G_R^\circ$ ) depends on the reduction potentials of the electron donor relative to the standard potential for hydrogen evolution from HA ( $E_{HA/H_2}^\circ$ ). In a homolytic reaction pathway (red curve, Figure 4), all elementary steps on the way to proton reduction are exergonic when sufficiently powerful reductants and proton sources are used. The largest barrier to catalysis likely is associated with the elementary step that forms H<sub>2</sub>. Little is known about the rates or barriers to bimolecular reductive elimination from metal hydrides, but it is probable that the rate of H<sub>2</sub> formation through this elementary step will be proportional to  $k_{homo1}$ [Co<sup>III</sup>H]<sup>2</sup>.

In the heterolytic route (blue curve, Figure 4), H<sub>2</sub> is released upon protonation of Co<sup>III</sup>H, generating Co<sup>III</sup> that must be converted to Co<sup>II</sup> by the pool of reducing equivalents. The driving force for this elementary H<sub>2</sub> forming step,  $\Delta G_{3a}^{\circ}$ , depends on the average of  $E^{\circ}$ [Co<sup>III/II</sup>] and  $E^{\circ}$ [Co<sup>II/I</sup>] (relative to the proton reduction potential), which, in most cases, renders the heterolytic reaction pathway extremely unfavorable. While exact details are not known, the reaction barrier for this step will be greater than 11 kcal mol<sup>-1</sup> for Co-diglyoxime complexes and the rate of H<sub>2</sub> formation will likely be proportional to  $k_{hetero1}$ [HA][Co<sup>III</sup>H]. The exergonic conversion of Co<sup>III</sup> to Co<sup>II</sup> by reducing equivalents involves substantial nuclear reorganization:  $\lambda$  for Co<sup>III</sup>–Co<sup>II</sup> electron exchange in **2** is estimated to be ~3.9 eV,<sup>69</sup> almost three times greater than that for Co<sup>III</sup>–Co<sup>I</sup> exchange.

The heterolytic pathway to H<sub>2</sub> evolution catalyzed by cobaloximes involves relatively high energy barriers and unfa-

vorable driving forces. Nevertheless, it is important to remember that the dominant route to  $H_2$  formation will depend not just on the barrier heights for elementary steps but also on the relative concentrations of HA and Co<sup>III</sup>H. At very high acid concentrations, the heterolytic pathway becomes more favorable and can be faster even with a higher barrier height.

# **Summary and Prospects**

Electrochemical and photochemical investigations have shed light on potential pathways of cobalt-catalyzed hydrogen evolution from solutions. Our thermodynamic analysis of these pathways has emphasized the critical role played by the barriers and driving forces of the elementary steps involved in catalytic H<sub>2</sub> evolution; it highlights the lower barrier of a homolytic reaction pathway. To date, however, no studies have provided detailed chemical kinetics of H<sub>2</sub> production nor have any key intermediates been detected.

Photochemical methods coupled with time-resolved spectroscopy offer unique opportunities to identify and monitor intermediates in the reaction cycle leading to H<sub>2</sub> evolution. For example, laser flash-quench methods<sup>71–73</sup> can be used to trigger the reduction of Co<sup>II</sup> to Co<sup>I</sup>, permitting optical<sup>74</sup> or infrared<sup>75,76</sup> detection of intermediates on time scales appreciably shorter than those accessible by conventional stopped-flow spectroscopy. Transient spectroscopic and kinetics measurements can offer critical insights into rate-limiting processes and the mechanism as a whole. A major challenge in this area is the development of photosensitizers and electron relays that are not inhibited by acids.<sup>77</sup>

The low-barrier homolytic pathway relies on the diffusion of two hydride species together in solution. A rate law with a first-order dependence on both cobalt and acid is fully consistent with electrochemical data and a first-order dependence on cobalt concentration also has been found for photochemical systems. These findings, coupled with the thermodynamic preference for a homolytic route, suggest that the limiting rate may be associated with formation of the hydride. While a bimolecular reaction of cobalt hydrides may not be rate limiting, a homolytic pathway would preclude the immobilization of catalyst on an electrode surface by preventing bimolecular diffusion pathways. Although there has been very little work on immobilizing these cobaloxime species on surfaces,<sup>78</sup> an efficient water splitting device<sup>3</sup> may require catalysts to be tethered to a photoelectrode surface.

Eliminating bimolecular diffusion by covalent linking of cobalt centers in a dinuclear catalyst could potentially enhance the rate of  $H_2$  production. Any increase in rates of  $H_2$  production relative to that of a mononuclear analogue could be probed via electrochemical methods. Work on Ru and Os diporphyrins indicates that cofacial orientation of metallocenters enhances  $H_2$  evolution under certain conditions.<sup>40,42</sup> There have been very few reports of dinuclear cobalt species with glyoxime<sup>79</sup> or glyoxime-like ligands,<sup>80</sup> and none has demonstrated proton reduction activity. Current work in our laboratory is focused on proton reduction by covalently linked dicobalt catalysts.

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### FOOTNOTES

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