

β -Nitroso-*o*-quinone methides: potent intermediates in organic chemistry and biology. The impact of the NO group on their structure and reactivity profile: a theoretical insight

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Abstract The structure and reactivity profiles of prototype *o*-quinone methides **1**, **2** and their β -nitroso analogues **6–9** have been investigated by means of DFT and MP2 calculations. These highly reactive unstable species are generated by oxidative dearomatization of their precursor oximes. The destabilization of their structure is more pronounced in the β -nitroso congeners **7–9**. There is only a weak π conjugation across the nitrosoalkene arm. The latter gives rise to *E* and *Z* conformations and causes some distortion on the ring σ -frame, while the π -frame is weakly

perturbed. The *Z* conformation is the most stable in all structures. Their geometry is also affected by the *o*-quinone ring and the 1,2-(**7** and **8**) and 2,3-(**9**) isomer pattern. The stability of these conformations is rationalized in terms of *ortho*- or *peri*- ring formations. The impact of their geometry profile on their reactivity pattern has been studied by means of reactivity descriptors such as Fukui and Parr functions, chemical potential and hardness, HOMO and LUMO energies and their separation (HOMO–LUMO gap) as well as aromaticity indices such as HOMA and out-of-plane deformability. All descriptors consistently demonstrate that the reactivity is dominated by an *E/Z*-controlled intramolecular *ortho*- or *peri*-cyclization mode to fused 1,2-oxazoles or 1,2-oxazines vs indoles, respectively. Intermolecular primary reactions can occur at the quinone alkene bond or that of the nitrosoalkene arm.

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Introduction

o-Quinone methide prototypes **1** and **2** are ubiquitous in chemistry and biology (Fig. 1) [1, 2]. They have enjoyed attention as reactive intermediates in organic and bioorganic synthesis. The widespread interest in their chemistry, during the last two decades, has been fueled by their significance in biological processes and drugs [1]. Lately, there has been a resurgence of interest in their chemistry [3, 4] and biology [5, 6].

It has been suggested that **1** plays a key role in the chemistry of several classes of antibiotics and antitumour drugs such as mitomycin C [7, 8] and anthracyclines

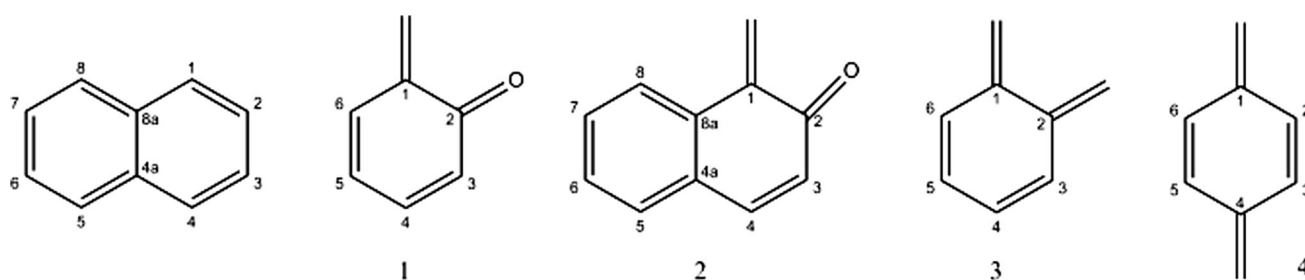
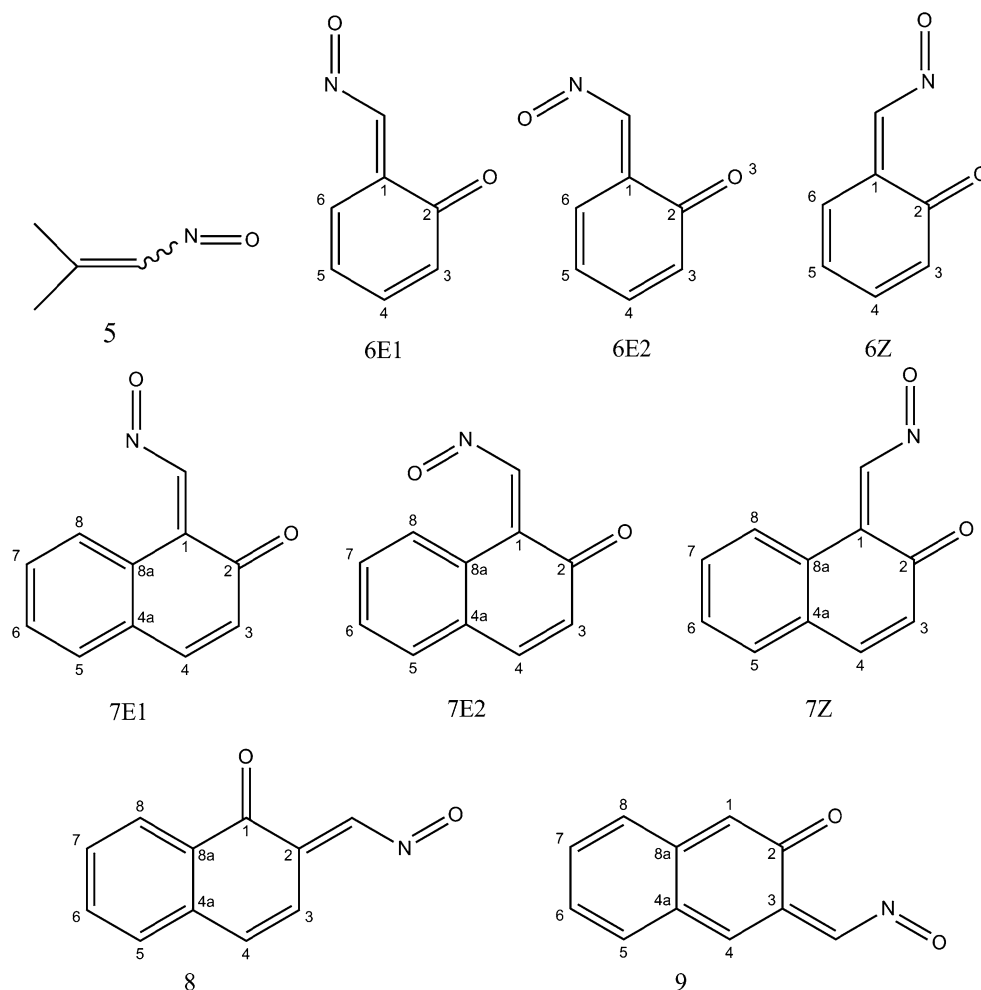


Fig. 1 Structures of naphthalene, *o*-quinone methides **1**, **2** and *o*-, *p*-quinodimethanes **3**, **4**

Fig. 2 β -Nitrosoalkene **5** and β -nitroso-*o*-quinone methides **6** and **7–9** (the spatial arrangement of NO group is designated as *Z*- or *E*-conformation if it is towards the carbonyl O atom or away from it, respectively. The *E*-conformation is distinguished as *E*₁ or *E*₂ if the O atom of NO is away from or towards the ring)



[9–11]. Its pronounced biological activity rests largely upon its mode of action on cellular macromolecules. It has been found that it can be involved in cross-linking of ligands with nucleic bases, peptides, or proteins [12, 13] and as such it can be implicated in biomolecular applications [14–16].

The polar nature of **1** or **2** endows them with electrophilic and nucleophilic reactivity. Thus, as highly polarized species, they react with electrophiles [17–20] and nucleophiles [21, 22]. The latter is the most commonly used, and

it is usually driven by the rearomatization of the structure. DFT calculations have been reported with sulphur, nitrogen, and oxygen nucleophiles [23]. **1** or **2** are related to *o*-quinonedimethanes **3** [24, 25] and *p*-quinone methides **4** [26] (Fig. 1). Unlike **4**, **1** (or **2**) cannot be easily isolated. Therefore, it is commonly trapped in situ by (Hetero) Diels–Alder reactions, where **1** acts as a (hetero) diene [27, 28]. Early computational studies on the parent structure **1** [1, 2] as well as some recent DFT-based ones [29, 30] have focused on deciphering its reactivity as a Diels–Alder

component or its biological activity as a Michael acceptor, in aqueous media [21, 31–33].

Conjugated β -nitrosoalkenes **5** [16, 34–38] (Fig. 2) constitute a well-known class of reactive molecules. They have been identified by isolation (in some cases, at least) [39], spectroscopic characterization [40] or studies of their kinetics and stereochemistry [41]. Their stability increases markedly by halogen, aryl or *t*-alkyl substituents as well as upon strong intramolecular H-bonding [42] or formation of transition metal complexes [43]. These molecules are trapped by reactions similar to those of **1** [34–38]. A species closely related to both **1** (or **2**) and **5** is β -nitroso-*o*-quinone methides **6** or **7–9** (Fig. 2). This has been proposed in our earlier reports as being transiently generated during the oxidation of *o*-hydroxyaryl acyloximes [44–47]. The nitrosoalkene motif has been extensively studied in the N-oxide chemistry of furazans [44, 45] and has also been invoked in the formation of N-oxides of 1,2-benzisoxazoles [44–47].

Experimental findings from our investigations [22, 44–47], already reported or in progress, point to intriguing aspects of the structures **1** and **6–9**. Thus, in the absence of a reaction partner (trapping dienophile or nucleophile), the intramolecular *o*- and *peri*-cyclizations of **6** or **7** are the only reactions observed [46, 47]. Interestingly, this is the primary reaction course still taken, even in the presence of a nucleophile [48].

o-Quinone methides **2** and **7** have been engaged in Diels–Alder cycloaddition, acting either as dienophiles [22] or as both dienes and dienophiles [46, 47]. Preliminary experiments have also shown that the *o*-quinone ring double bond behaves as an isolated typical alkene, undergoing electrophilic addition at C-3 [49]. Heterocycles with a ring N–O bond are important core structures in many pharmaceuticals. Among them, the isoxazole ring occupies a prominent position in isoxazole-based marketed drugs, such as, for example, penicillin antibiotics (cloxacillin, dicloxacillin, flucloxacillin), antipsychotic (risperidone, paliperidone), COX 2 inhibitors (parecoxib) to name a few.

Structures **6** or **7** are key intermediates in the formation of many of these compounds. Arene-fused 1,2-oxazoles (mainly benzisoxazoles) that are C-3 substituted with pharmacophores is an area of intense research driven by diverse pharmaceutical applications [50]. Furthermore, ring opening of these heterocycles, asymmetric reduction in particular, provides access to optically active structures, core components in a variety of medicines [51].

The chemistry and multifaceted significance of **1** and **2**, the potential of **6–9** as intermediates in organic or bioorganic synthesis [1–3], as outlined above, particularly towards diverse-substituted arene-fused isoxazoles or 1,2-oxazines, sparked the present theoretical insight into the salient features of these intermediates, the first systematic

Table 1 Relative energy levels (kcal mol⁻¹) of the calculated minima and transition states for **7** → *peri*-cyclization product (P) calculated at different levels of theory

	7E ₁ → TS ₂			TS ₃ → product (P)		
	7E ₁	TS ₁	TS ₂	TS ₃	TS ₄	(P)
B3LYP/6–311+G**	0	8.3	–4.5	0	56.6	–14.4
B3LYP/aug-cc-pVTZ	0	8.1	–5.2	0	58.1	–16.0
M062X/6–311+G**	0	13.5	–8.2	0	58.9	–13.9
M062X/aug-cc-pVTZ	0	13.1	–9.0	0	57.2	–15.9
MP2/6–311+G**	0	7.3	–3.6	0	56.7	–12.9

study of this species while a reflection on their expected reactivity pattern is described.

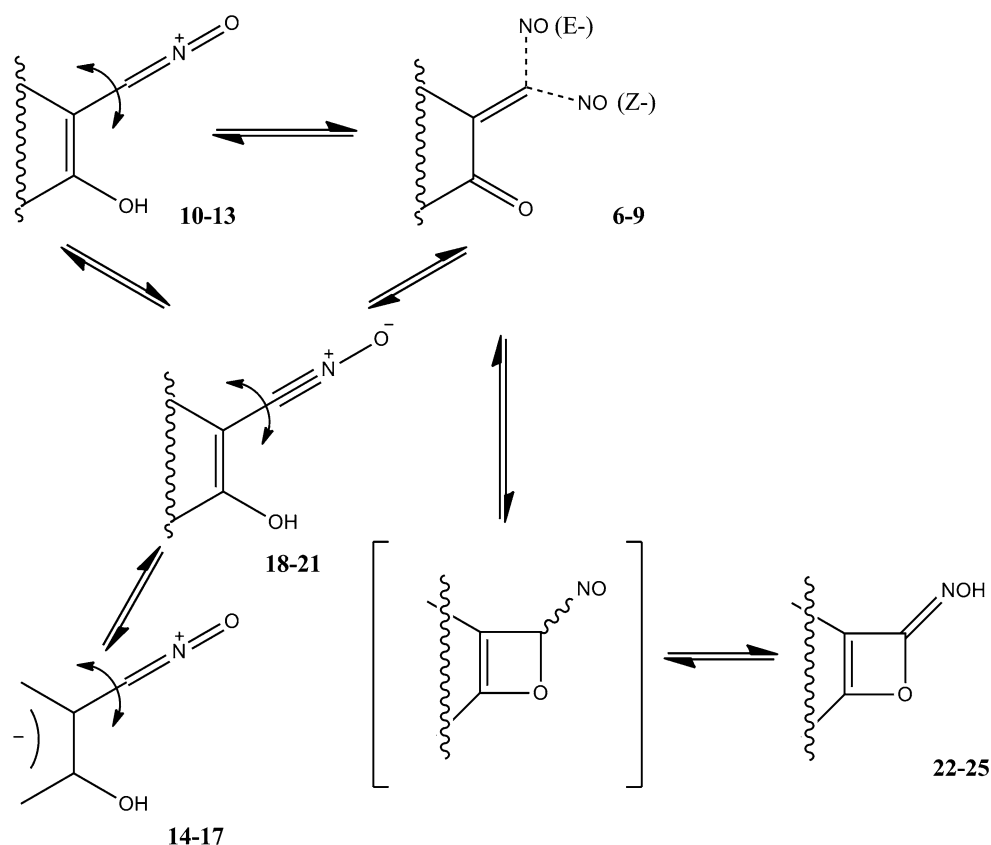
Methodology

Ab initio DFT and MP2 calculations have been performed to carry out full geometry optimization and compute the energies of the target molecules. The unrestricted B3LYP functional were employed in conjunction with the 6–31G** and 6–311++G** basis sets, and the second-order Møller–Plesset Perturbation Theory (MP2) were used in conjunction with the 6–311++G** basis set in order to evaluate the impact of dearomatization of oximes I and the conformation (*Z* or *E*) of the NO group on the resultant *o*-quinone methide structures III.

The performance of the B3LYP functional, despite its widely accepted popularity, has been questioned [52, 53] underestimation of barrier heights being one of the issues raised. Thus, to increase the credibility of our findings, this functional has also been used with the aug-cc-pVTZ [54] basis set whereas the M06-2X hybrid meta functional [52] has also been called in and used with both 6–311+G** and aug-cc-pVTZ basis sets, too (Table 1). All methods resulted in similar geometries. Transition State (TS) energies derived from both basis sets of the same functionals have also been found to be similar. B3LYP and MP2 approaches have given comparable relative energies (Table S1, Supporting Information). A *ca.* 40 % higher energy barrier has, indeed, been calculated by the M06-2X functional (Table S1, Supporting Information). However, it should be pointed out that (a) the barriers in all cases have been still low and (b) all reaction and barrier energies, obtained by both functionals, have been ultimately found of comparable magnitude (Table S1). It is, therefore, safe to accept the reliability of our B3LYP-based calculations.

All calculations have been performed and visualized using NWChem [55], ECCE packages [56] and Spartan [57]. The results of each lower level were used as input for the higher theory calculations. Geometry-based parameters

Fig. 3 H transfer-based or ring-chain interconversion of structures contributing to the identity of *o*-quinone methides III (6–9)



such as bond lengths, π -bond orders, total and relative energies, dihedral angles, dipole moments, reactivity descriptors such as charge densities, chemical potential μ , chemical hardness η , frontier orbital energies and aromaticity indices such as Harmonic Oscillator Model of Aromaticity (HOMA) and out-of-plane ring deformability (E_{def}) have been calculated to assess various features of the structures and predict the reactivity profile of **6** and **7–9**.

Although intramolecular cyclization (*ortho*- and *peri*-) appears to be the prevalent reactivity mode, it is the strongly polar (electrophilic) character of **6–9**, as depicted by formalisms **10–21** (Fig. 3), that called for the use of additional rigorous reactivity descriptors.

Condensed Fukui function f_k and the recently reported local electrophilicity and nucleophilicity indices ω_k and NK of Parr functions $P(r)$ [58] have been chosen as such, to provide a more accurate, perhaps, estimate of their site selectivity towards nucleophiles and electrophiles. Parr functions were calculated at 6–31G* theory level as in the original work [58].

Chemical potential μ [59, 60] and hardness η [61, 62] are expressed in terms of ionization potential I and electron affinity A as $\mu = -(I + A)/2$ and $\eta = (I - A)/2$ (or $\eta = (E_{\text{LUMO}} - E_{\text{HOMO}})/2$), respectively. The HOMO–

LUMO gap (energy separation) is known [63] to be related to stability, i.e. reactivity and this relationship has been theoretically articulated as hardness η .

Reformulated HOMA (rHOMA) index [62, 64] has been calculated by the delineated equation.

$$\text{rHOMA} = 1 - \frac{\alpha}{n} \sum_{i=1}^n (R_{\text{opt}} - R_i)^2$$

$$\alpha_{\text{Cring-Cring}} = 257.7, R_{\text{opt}} = 1.388 \text{ for } C_{\text{ring}} - C_{\text{ring}}$$

where n is the number of bonds in the aromatic system ($n = 6$ for 1-ring, i.e. **6** and $n = 11$ for a 2-ring system, i.e. **7–9**). R_{opt} is the optimum bond length, and R_i is the real bond length of the i bond taken into consideration. This equation necessitates the use of the normalization constant α for each type of bond. In our case, there are no ring heteroatoms, therefore $\alpha = 257.7$.

π delocalization-related deformation energy (E_{def}) [65, 66] has been calculated as follows: torsion angles X for the optimized low energy conformation of the oxime and Y for the *o*-quinone methide structure have been calculated. Then, Y was constrained into X and the energy of the newly built conformation was calculated. The energy change upon constraining X and Y angles of the *o*-quinone methide gives the deformation energy E_{def} .

The Fukui function $f(r)$ [67–69] represents the response of μ of a system to an external potential change and it is expressed (in its condensed form) as $f_k^+ = [q_k(N+1) - q(N)]$ or $f_k^- = [q_k(N) - q_k(N-1)]$ or $f_k = [q_k(N+1) - q_k(N-1)]/2$ (where q_k is the electron population of an atom k and N the total number of electrons) towards nucleophiles, electrophiles or radicals, respectively. Condensed Fukui function f_k (Fukui index) is a good intermolecular reactivity descriptor in electrophile–nucleophile (mostly hard-soft) interactions and this has been verified in some cases [70]. It is also considered [71] a reliable descriptor of intramolecular reactivity.

Local electrophilicity ω_k [58, 72] and nucleophilicity N_K [58, 73] have been obtained through a Mulliken Population Atom Spin Density (ASD) analysis of the cation/anion radical formalism of the structures, using $\omega_k = \omega P_k^+$ and $N_k = NP_k^-$ equations.

For the correlation plots, MS Excel and linear regression analysis MS Excel were utilized. Geometry-based parameters have also been computed for the parent congeners *o*-quinone methides **1** and **2** as well as for the nitrosoalkene arm **5** to allow for correlations among the structures. The properties of **8** and **9** were evaluated for their most stable conformers.

Results and discussion

The computationally unveiled features of structures **6** and isomers **7–9**, herein, serve as a model study for this class of compounds. Earlier literature data and our calculations for **1**, **2** and **5** were used in support of our investigation. The credibility of the adopted computational approach (DFT-B3LYP/6–31G** and 6–311++G** as well as MP2/6–311++G**) was tested against alternative functionals and basis sets (see Table 1 and “Methodology” section).

Generation of **6–9**

Structure **6** has been invoked as an intermediate in the oxidative cyclization of benzaldehyde oximes [44, 45]. On the other hand, its benzo-fused analogue **7**, generated similarly, has been trapped by a Diels–Alder self-cycloaddition, and the structure of the resultant spiro adduct-dimer has been confirmed by X-ray analysis [46, 47]. Oximes are known hydrogen donors or acceptors [74, 75]. Computational studies on their *E–Z* geometrical isomers have been reported [76]. The *Z*-conformation of the oxime **I** (Scheme 1) is more stable than its two *E* variants by *ca.* 1.88 kcal mol^{−1} for **6**, *ca.* 1.38 kcal mol^{−1} for **7** and *ca.* 1.7 kcal mol^{−1} for the isomers **8** and **9**. On the other hand, the energy cost for the interconversion of its *E* conformations is *ca.* 4.0 kcal mol^{−1}. Pertinent to the formation of **6**

or **7–9** is loss of aromatic character from their precursor oximes **I**, by oxidative dearomatization [77, 78]. This is effected by the overall removal of two σ -electrons from **I** through the collapse of the intermediate complex **II** (Scheme 1) [44, 45].

Energy barriers for the oxidation of **I** to transition states for **6–9** have been calculated (Table 2). In addition, the $E_1 \leftrightarrow E_2$ and $E_1 \leftrightarrow Z$ interconversions, through their corresponding transition states, have low energy demands. The former are of *ca.* 4.3 and 6.6 kcal mol^{−1} for **6** and **7**, respectively, while the latter appear to be more facile with energies of *ca.* 1.4 for **6** and 2.7 kcal mol^{−1} for **7**. However, the $E_2 \leftrightarrow Z$ interconversion is quite an energy-demanding process (*ca.* 30 kcal mol^{−1} for **6** and *ca.* 27–28 kcal mol^{−1} for **7**). Similar trends and magnitudes are observed for the isomers **8** and **9**.

The oxidation process raises the question of identity of the generated intermediate of type III (Scheme 1). The loss of a proton and a hydride ion or two H atoms, sequentially or synchronously lost from **II**, point to an intermediate of a zwitterionic (**10–21**) or neutral (**6–9**) structure (Fig. 3). If **6–9** are generated directly from the oxime oxidation, then they will assume the conformation of their precursor oxime **I**. On the other hand, if they take up a *Z* or an *E* conformation, other than that of **I**, then they can only do so through a structure that allows a C₁–C₁₀ bond rotation. Structures **10–21**, interconvertible through a H-shift, describe this possibility (Fig. 3). Nitrile oxide **18–21** cannot survive the oxidation conditions. In addition, it is known [79, 80] to dimerize readily to furoxans, and no such reaction has been experimentally confirmed [46–48]. Nevertheless, its transitory existence may be invoked as a short-lived species to mediate energy-allowed interconversions. Apparently, the latter are favoured primarily among **6–9** and their aromatic zwitterions **10–13**, with barriers of *ca.* 9–11 kcal mol^{−1} though mesomeric structures **14–17**, with barriers of *ca.* 12–14 kcal mol^{−1}, may also not be ruled out. The possibility of formation of **22–25**, valence isomers of **6–9** (Fig. 3), was also explored, by analogy to the benzoxete analogue of the parent **1** [81]. Their generation has an energy demand of *ca.* 18 kcal mol^{−1} for **25**, *ca.* 22–23 kcal mol^{−1} for **23** and **24** but a higher one of *ca.* 31 kcal mol^{−1} for **22**. These energy barriers, however, surmountable as they might be, are still up against competing reactions of negligible to very low energy cost, such as the *ortho*- and *peri*-cyclizations actually observed, as has, at present, been experimentally confirmed for **6** and **7** [46–48].

Structure of **6–9**

Dearomatization of **I** imparts a notable distortion onto **6–9** (Tables S2, S3). It is this distortion that absorbs most of the

Scheme 1 Oxime **I** oxidation: commonly proposed route for generation of *o*-quinone methides **III**

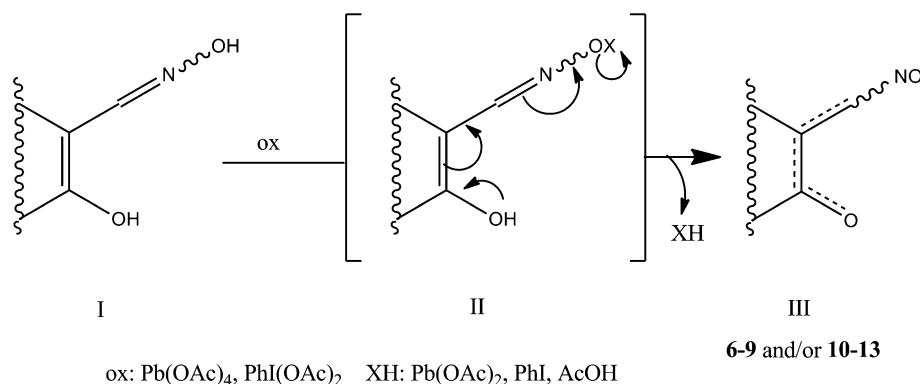


Table 2 Relative energies (kcal mol⁻¹) of the minima and transition states (TS) for the *E* ↔ *Z* conformations of **6-9**^a

Compound	Relative energy
6E ₁	0
TS _{6E1-6E2}	4.2
6E ₂	-4.3
TS _{6E2-6Z}	30.5
6Z	1.4
7E ₁	0
TS _{7E1-7E2}	1.9
7E ₂	-6.6
TS _{7E2-7Z}	28.6
7Z	-2.7
8E ₁	0
TS _{8E1-8E2}	2.2
8E ₂	6.9
TS _{8E2-8Z}	27.6
8Z	-2.9
9E ₁	0
TS _{9E1-9E2}	2.4
9E ₂	6.7
TS _{9E2-9Z}	28.6
9Z	-3.3

^a Calculated at the DFT/B3LYP/6-311++G** level of theory

energy cost incurred during their formation (cf. data of congeners **1** and **2**; Tables S4, S5). The geometry of **6-9** rests largely upon their nitrosoalkene arm. Earlier ab initio calculations [16, 34, 35] on **5** (Table S6) have shown that there is a considerable conjugation between the alkene and the NO group in both conformations, demonstrated by the stretching of the former and contraction of the C-N bond. Indeed, bond lengths of *ca.* 1.420–1.430 Å or 1.480–1.50 Å for the C-C bond in the respective conformations, *ca.* 1.260–1.279 Å for the C-N bond and *ca.* 1.224 Å for the N-O bond have been calculated. The C-C bond length compares well with that of a single sp²-sp² bond (*ca.* 1.480 Å) whereas the C-N bond length

Table 3 Bond lengths (Å), angles (°), and dihedral angles (°) of **I** and **6-9**

	Oximes	6	7	8	9
C ₁ -C _{1'}	1.468 ^b	1.426 ^b	1.422	1.420	1.416
	1.466 ^c	1.361 ^d	1.363	1.358	1.356
		1.366 ^e	1.369	1.360	1.358
C _{1'} -N	1.231	1.323	1.325	1.326	1.321
	1.276	1.412	1.408	1.411	1.407
		1.404	1.407	1.409	1.408
N-O	1.403	1.215	1.212	1.216	1.213
	1.402	1.222	1.224	1.223	1.218
		1.216	1.216	1.215	1.215
C ₁ -C ₂	1.514	1.404	1.389	1.509	1.514
		1.520	1.526	1.520	1.522
		1.520	1.526	1.521	1.523
C ₁ -C _{1'} -C _{2'}	133.7	120.5	120.1	120.7	120.4
	121.9	115.7	112.3	116.1	117.2
		117.5	113.5	117.8	118.6
C _{2'} -N-O ₁	114.8	114.7	115.8	115.6	116.2
	111.2	119.0	121.0	121.7	121.8
		113.5	113.3	114.1	115.2
C ₁ -C _{1'} -C _{2'} -N	180	168.0	174.4	174.2	170.2
	180	170.6	154.8	156.1	170.0
		180	172.9	171.4	176.4
C ₁₀ -C ₁ -C ₂ -O ₂	138.6	159.2	145.9	146.6	156.6
	140.2	171.9	152.9	152.4	169.8
		180	161.5	161.9	178.7

^a Calculated at the DFT/B3LYP/6-311++G** level of theory; atoms' positions are the same for all the compounds but atom numbering is shown here for **6-7**. Atom positions follows for **8-9** but atom numbers are different

^b *Z* conformation

^c *E* conformation

^d E₁ conformation

^e E₂ conformation

resembles that of an imine or oxime (*ca.* 1.280 Å). Remarkably, the NO bond retains its double bond character.

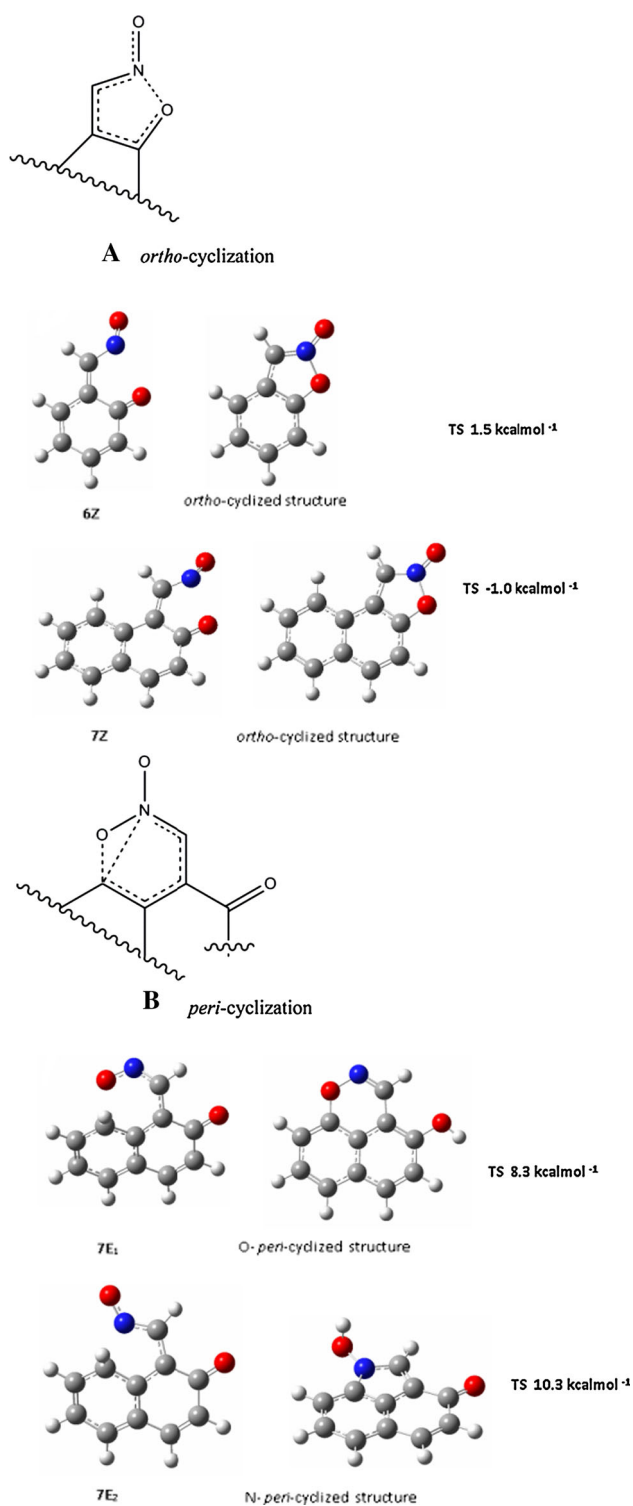


Fig. 4 Geometry-oriented (*Z* or *E* conformers) cyclization modes of **6** and **7**

Our calculations (Table S2, Supporting Information, and Table 3) reveal a different geometry for the nitrosoalkene arm of **6–9**. Bond lengths of *ca.* 1.360 Å for C–C and *ca.* 1.323 Å or *ca.* 1.410 Å for C–N bonds in *Z* or

Table 4 Relative energies of minima and transition states of *ortho*/*peri*-cyclization of **6** and **7**

Compound	Energy
6Z	1.4
TS _{6Z-ortho-cyclized}	1.5
“N-oxide”	–16.6
7E1	0
TS _{7E1–7E2}	1.9
7E2	–6.6
TS _{7E2–7Z}	28.6
7Z	–2.7
TS _{7Z-ortho-cyclized}	–1.0
“N-oxide”	–14.0
7E1	0
TS _{7E1-<i>peri</i>-cyclized}	8.3
<i>a</i>	–4.5
<i>ts</i> _{a–b}	32.5
<i>b</i>	19.3
<i>ts</i> _{b–c}	29.0
<i>c</i>	–25.0
<i>ts</i> _{c-product}	31.6
“1,2-oxazine”	–39.4
7E1	0
TS _{7E1-<i>peri</i>-cyclized}	8.3
<i>a</i>	–4.5
<i>ts</i> _{a–d}	20.8
<i>d</i>	–9.4
<i>ts</i> _{d–e}	22.9
<i>e</i>	–11.2
<i>ts</i> _{e–f}	17.1
<i>f</i>	–9.2
<i>ts</i> _{f–g}	24.2
<i>g</i>	1.5
<i>ts</i> _{g–h}	25.3
<i>h</i>	2.8
<i>ts</i> _{h–i}	18.8
<i>i</i>	–11.8
<i>ts</i> _{i-product}	35.5
“1,2-oxazine”	–39.4
7E1	0
7E2	–6.6
TS _{7E1–j}	10.3
<i>j</i>	1.7
<i>ts</i> _{j–k}	37.4
<i>k</i>	9.7
<i>ts</i> _{k-product}	28.0
“Indole”	–33.3

^a Calculated using DFT/B3LYP/6–311++G** level of theory

E conformation, respectively, clearly suggest a rather feeble interaction between the alkenic and the NO unsaturation sites. Accordingly, C–C and N–O bonds are contracted in **6–9**, against their oxime precursors, by *ca.* 0.1 Å and

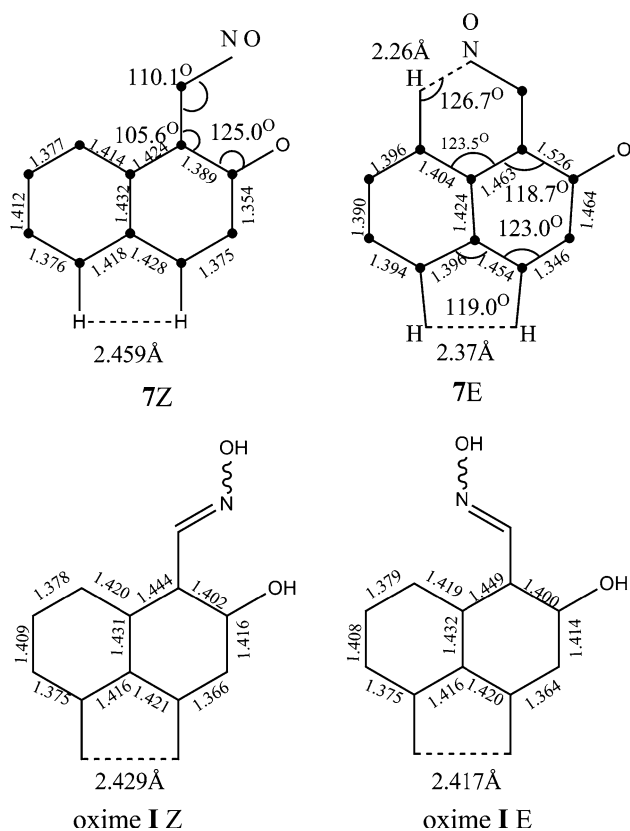


Fig. 5 Conformation-triggered ring σ frame distortion of **7** (compared with oxime **I**)

0.2 Å, respectively, accompanied by a slight elongation of the C–N bond by *ca.* 0.1 Å, in both their *E* and *Z* conformations. Yet, a weak π polarization is likely to exist in the C–N bond, probably a result of a lone pair effect [82–84], i.e. a repulsive through-space n – π interaction among the N lone pair and the alkene π -orbitals. This, in turn, may be triggered from a similar interaction among the N and O lone pair orbitals of the NO group, reminiscent of the α -effect [85–88]. The double bond of the latter, *ca.* 1.223 Å, remains intact, again. In other words, the nitrosoalkene arm retains its two distinct reaction sites (see “Reactivity” section). The NO group is, thus, flexible enough to give rise to the *E* or *Z* conformations (Fig. 2). **6–9** are most stable in their *Z* conformation (Table S3, Supporting Information). Geometry optimization points to a simultaneous cyclization of this conformation in **6** and **7** to a 5-membered “N-oxide ring” whereas their *E* conformers to an “1,2-oxazine” ring or an “indole” ring, respectively (Fig. 4; Table 4).

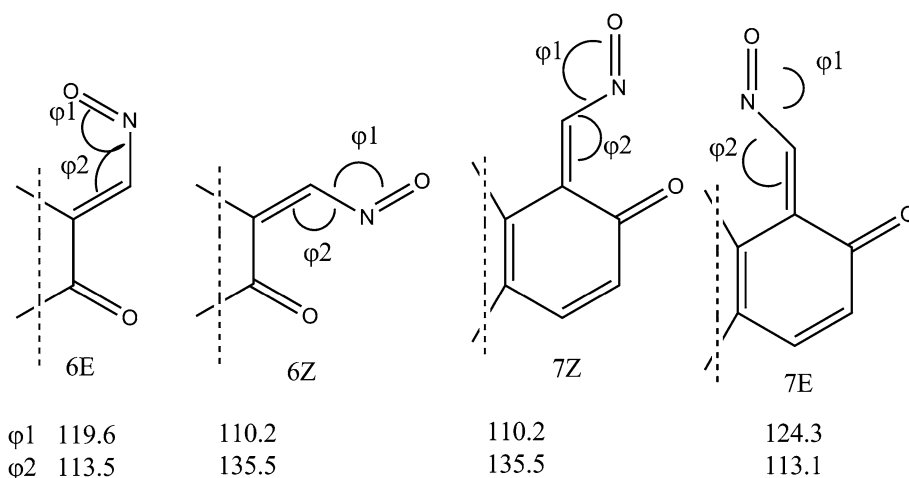
It is contemplated that bonding interactions develop between the NO group and the exocyclic carbonyl O atom or the π -framework of the benzene core (Fig. 4). For example, a H bonding–like interaction between the NO group and the C-8 (*peri*-) ring hydrogen atom is evident in

7E and a C–H–N calculated bond length of 2.26 Å and an angle of 126.7° point to this possibility (Fig. 5). Relative energies (Table S3, Supporting information) are consistent with this observation. Similarly, very weak interactions can be inferred for **8** and **9** while such interactions are not found in **6**. These observations have, indeed, been confirmed experimentally [44–47].

Changes more pronounced than those of the ring are observed in the angles of the nitrosoalkene arm (Fig. 6). Thus, the C₁–C₁₀–N angle (φ_2) appears at a steeper orientation by *ca.* 13° in their *Z* conformation while a less sharp but still significant change of C₁₀–N–O (φ_1) of *ca.* 8–10° is found in the *E* conformation. Dihedral angles C₁–C₁₀–N–O₁ and C₁₀–C₁–C₂–O₂ are broader by *ca.* 8–10° in the *E* conformers whereas *Z* ones appear to be virtually unaffected. These data depict the deviation of NO group from alignment with the exocyclic alkene of **7** or **8** [89].

It is important to note, in all cases, the virtually intact double bond character of the NO group whether in the *Z* “N-oxide ring” or the *E* “1,2-oxazine ring”, “indole ring” conformations. Similarities are found among the bonding features of the nitrosoalkene arm of **6**, **7** and those of the dinitrosoalkene structure, the well-known intermediate invoked in furoxan isomerization (Table S2, Supporting Information) [90–98]. An intact double bond of *ca.* 1.216–2.20 Å for the NO group is found in both intermediates. However, the C–C bond in the dinitrosoalkene, with a bond length in the range of *ca.* 1.470–1.479 Å has been described [30, 99] as an sp^2 – sp^2 single bond of a diradical iminoxy-type intermediate, while earlier calculations have indicated a more alkenic bond of 1.321 Å (HF/6–31G*) [69–76] or 1.347–1.392 Å (MP2/6–31G*) [91–98]. That in **6** and **7**, on the other hand, calculated in the range of 1.356–1.420 Å (Table 5), is closer to an alkene, apparently due to the rigidity of their structure as well as their quinone-type stability. In addition, a Diels–Alder self-cycloaddition of **7** to a spiro dimer experimentally confirms the alkenic nature of this bond [46, 47].

The *E* or *Z* conformations also contribute to the distortion of the ring (Fig. 5). Comparing the bond lengths of naphthalene with those calculated for **7–9** (Tables S6, S2) demonstrates the impact of the quinone core on the ring distortion, as shown by the notable elongation of both C₁–C₂ and C₁–C_{8a} bonds. The former bond, slightly longer than but still comparable to that in **1** and **2**, ranges from 1.404 to 1.520 Å for **6**, 1.389–1.525 Å for **7** or 1.510–1.522 Å for **8** and **9** (lower end of the ranges refers to the *Z* conformation while the upper end to the *E* conformation). This bond “isolates” the exocyclic alkene from the carbonyl moiety of the quinone segment. The σ -frame distortion is further exemplified by comparing the *E*/*Z* conformation-triggered distortion of oxime **I** with a more marked one in both conformations of **7** (Fig. 5) while

Fig. 6 The *E/Z*-conformations of the β -nitroso-*o*-quinone methides **6** and **7****Table 5** Bond lengths (\AA) of exocyclic sites in **1**, **2** and **6–9**

		C–O	C–C	C–N	N–O
1		1.224	1.347		
2		1.224	1.343		
6	Z	1.356	1.361	1.418	1.215
	E ₁	1.222	1.361	1.407	1.222
7	E ₂	1.223	1.366	1.408	1.216
	Z	1.354	1.356	1.418	1.212
8	E ₁	1.221	1.363	1.407	1.224
	E ₂	1.220	1.369	1.408	1.216
9	Z	1.359	1.358	1.416	1.216
	E ₁	1.220	1.368	1.406	1.223
9	E ₂	1.222	1.363	1.407	1.215
	Z	1.360	1.362	1.415	1.213
9	E ₁	1.222	1.365	1.408	1.218
	E ₂	1.220	1.360	1.407	1.215

Calculated at the DFT/B3LYP/6–311++G** level of theory

similar features with the same trend are observed in **8** and **9**. Accordingly, the *Z* conformation in oxime **I** deforms the structure “outwards”, stretching the H–H distance of its *peri* H-4 and H-5 atoms by *ca.* 0.01 \AA with respect to its *E* counterpart. A more pronounced change of that H–H distance of *ca.* 0.089 \AA is observed among the *E* and *Z* conformers of **7**. More important, however, is the distortion triggered by the dearomatization of oxime **I** to **7**, confirmed by a notable “outward” change of *ca.* 0.03 \AA and an “inward” change of *ca.* 0.047 \AA of the *peri* H–H distance of their *Z* and *E* conformations, respectively (Fig. 5). These changes are also reflected by changes in the corresponding angles particularly that are formed by the *peri* H-4 and H-5 pseudo-ring.

Much smaller but still noticeable variations, in the range of 0.01–0.02 \AA , in the distances of the other H atoms around the structures are also evident. The angular strain [100–102], thus, introduced, causes some bond alternation (bond localization) in the benzene ring (SIBL effect)

[103–105]. The benzene bond length variation ranges from *ca.* 0.005–0.02 \AA in the *E* conformations of **7**, *ca.* 0.006–0.01 \AA in **8**, *ca.* 0.02–0.04 \AA in the *Z* conformation of **7** and *ca.* 0.01–0.06 \AA in **9**. Its average bond length is 0.001 \AA in **7** or **8** and 0.005 \AA in **9** longer than the commonly accepted values of 1.399 \AA or 1.402 \AA for the isolated structures of benzene or naphthalene, respectively [106]. The bond length variation range shows that (a) *E* conformation is less strained, (b) the carbocycle is more aromatic in **7** and **8** *E* conformers and (c) the carbocycle and the quinone part are more or less isolated from each other, to a greater extent in **7** and **8**.

The exocyclic isomer pattern in **7–9** also perturbs their σ/π -framework. In the 1,2-pattern, as in **7** and **8**, the energy cost will be that corresponding to the resonance energy of naphthalene less that of benzene. The 2,3-pattern, as in **9**, however, costs the whole resonance energy of naphthalene for its formation, thus, it is expected to be unstable, highly reactive though energetically and geometrically capable of

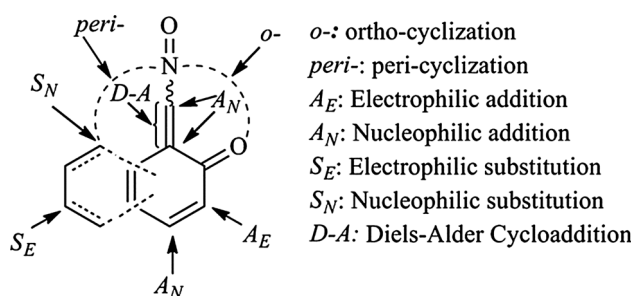


Fig. 7 Reactivity profile of **6–9**

existence. Some double bond character observed in C_2-C_3 , C_4-C_{4a} and C_1-C_{8a} indicates a weak π delocalization throughout the ring structures. Bond length variations (Δ_R) in the aromatic part of the E conformations of **7** (Fig. 5; Table S2) or the Z conformation of **8** support this observation and point to a *peri*- (Fig. 4b) or an *ortho*-annulated (Fig. 4a) ring structure, respectively, as mentioned earlier. On the other hand, Δ_R values of *ca.* 0.01–0.07 for **6** or *ca.* 0.01–0.06 for **9** (Table S2) are indicative of the substantial diene character of these rings. The distortion profile of **6–9**, as detailed above, could safely point to these structures as a satisfactory description of a rather late (product-like) transition state in the oxidative dearomatization process (Scheme 1).

Reactivity profile of **6–9**

Features that dominate a structure invariably accompany or match those that dictate its reactivity. The latter refers to the kinetic control of a reaction and depends on reactant energies, energies of transition states and/or intermediates leading to stable products. Probing the electron distribution and its perturbation by changes in the structures of **6–9** allows one to detect ultimately a reactivity pattern for them [107]. To that end, in addition to the geometric parameters used above, the following reactivity descriptors were computed. Values of notable magnitude and variation, obtained for the chemical potential μ and dipole moments (obtained as the sum of σ and π contributions) of **6–9** (Table S7), exemplify their electrophilic character [59, 60].

Further, the HO (also HO-1) and LU (also LU + 1) molecular orbitals (Figs. S1 and S2, Supporting Information) of **6–9** are found to be localized on the ring core and the nitrosoalkene arm, respectively. The energies and separation of these orbitals (HOMO–LUMO gap) [63] (Fig. S3 and Table S8 in Supporting Information) are seriously affected by the E - and Z -conformation of **6** and **7** (or **8** and **9**). The HO-1 orbital is the most affected one, as that being the most strongly related to π delocalization [61, 62], due to the electron-withdrawing character of the NO group.

This distribution points to a ring core susceptible towards both nucleophiles and electrophiles and a nitrosoalkene arm susceptible towards nucleophiles (Fig. 7).

Mulliken charges [61, 62] (Table S9) and Fukui $f(r)$ [67, 68] (Table S10) values also consistently indicate the nitrosoalkene arm as the major site for a nucleophilic attack. Parr electro (nucleo) philicity indices, ω_K and N_K (Tables S11, S12), on the other hand, while following a similar trend, they introduce some additional features. These predictions indicate a general pattern that bears a good analogy to the observed reactivity of **1** or **2** with O and N nucleophiles [22].

Indeed, Fukui values of **6–8**, in both E and Z conformations, suggest a site selectivity of the structure towards electrophiles localized on the NO group, barely indicating alternative positions. Parr data (N_K), on the other hand, feebly support electrophilic addition primarily at C-1 and to a lesser extent at C-3 or electrophilic substitution at C-4 and C-6. On the contrary, Fukui values appear to favour electrophilic addition in **9**, primarily at C-1 and C-4 and virtually all positions of the fused ring to a lesser extent, whereas no comparable sensitivity is exhibited by Parr values. These estimates are in line with geometry parameters of **9** and lend support to its diene character. While predictions for the E conformation of **6** and **9** may be reasonable, the low sensitivity of both descriptors towards electrophiles of the Z conformation of **6** as well as the rest of the structures in both conformations cannot be readily rationalized, given their propensity to cyclise. However, site susceptibility towards nucleophiles appears to follow a general trend by both descriptors. Thus, it engages the α,β -unsaturation sites, primarily that of the nitrosoalkene arm, in a nucleophilic Michael-type addition in both E and Z conformations. This susceptibility demonstrates (a) the diene geometry of the quinone ring, (b) the localized alkene character of the nitrosoalkene arm (cf. Fukui data of **5**, Table S10) and (c) the partial polarization of the fused ring relayed by the quinone one. These reactivity patterns, however, are corroborated only in part by experimental results [22, 46, 47, 49]. Of interest is the lack of sensitivity of the indices towards substitution (electrophilic or nucleophilic) reactivity. Of course, one should keep in mind (a) calculations refer to an isolated molecule, (b) the dependence of site selectivity upon the reaction surroundings (i.e. solvent effects and reagent interactions), particularly in a substitution reaction.

It can be safely argued that intramolecular (*o*- or *peri*-) cyclization is the prevalent reaction course (apparently the kinetically controlled one) and takes precedence over any other reaction, even in the presence of an external stimulus (a nucleophile or an electrophile, as also suggested by structural data, see “Structure” section). In the event, the dual character of the NO group (as an electrophile or a

nucleophile), probably enhanced by the lone pair repulsion among NO orbitals, [84–88] (see “Structure” section) and the low rotation barrier between the *E* or *Z* conformations (see “Structure” section and Fig. 3), apparently have a decisive impact on their predicted reactivity profiles.

The aromatic character and its geometry-triggered variation, as an additional probe for the reactivity of **6–9** as well as that of their precursor oximes **I**, have also been examined by means of the HOMA [62, 64] (Table S13) and π delocalization-sensitive deformation energy (E_{def}) [65, 66] (Table S13) descriptors.

HOMA values of the *E* conformer of **I** are higher than those of its *Z* conformer. This is attributed to a 6-membered pseudo-ring formed by intramolecular Resonance-Assisted Hydrogen Bonding (RAHB leitmotif) [108] in the *Z* conformer, which perturbs the ring π density, causing a drop of aromaticity [109–111]. The latter builds up in going from **I** to **6–8** in their *Z* conformation and decreases in moving to **9**. It falls drastically in the E_2 conformation of **7–9** whereas it is lost in the *E* conformations of **6**. Loss of aromaticity in the *E* conformation of **6** drives the structure to a non aromatic diene geometry. Its increase, on the other hand, in the *Z* conformation of **6** or **7** and **8** is consistent with the ring angular *ortho*-annulation (see earlier “Discussion” and Fig. 4a). The latter develops an extended π delocalization throughout the entire structure [109–111]. This rationale can also account for the lower values of the *E* conformations of **7**, pointing to a *peri* (1,8)-ring annulation (Fig. 4b). The drop in **9** is attributed to the outer ring diene geometry of the linearly annelated tricycle. E_{def} (Table S13) also shows a ring annulation trend as reflected by the higher values estimated for the *Z* conformation in **6** or the *E* conformation in **7**.

Plots of aromaticity-related hardness η [61, 62] (Fig. S4, $R^2 = 0.587$, Table S7) or HOMO–LUMO gap [63]

(Fig. S3, $R^2 = 0.536$, Table S8) against HOMA drawn. Both demonstrate a poor linear correlation, reflecting, and confirming the weak impact of ring stability (i.e. reactivity related to ring distortion) on π interactions. However, a satisfactory linear correlation ($R^2 = 0.859$) of HOMA against activation energy (ΔG^\ddagger) is obtained (Fig. S5). This correlation supports the stabilizing effect of the *ortho*-ring formation on the *Z* conformation and to a much lesser extent that of a *peri*-ring formation on the *E* conformation.

The impact of the *E* or *Z* conformation on the ring stability is evident, once again. Indeed, the *Z* conformation has a more extended π delocalization (more stable) while a more Clar-type formulation [112] describes the *E* conformation, particularly in **7** and **8**. A detailed account on the features pertaining to the intramolecular *ortho*- and *peri*-cyclization of **6** and **7**, along with substituent and reaction medium effects on its mechanism, will be reported in due course.

Conclusions

β -Nitroso-*o*-quinone methides **6–9** are highly reactive species with a notably distorted geometry. Their nitrosoalkene arm gives rise to *E* and *Z* conformations. The *E* or *Z* conformations, the *o*-quinone ring, the 1,2-(**7** and **8**) or 2,3-(**9**) isomer pattern and their corresponding angular or linear ring formations contribute to the σ -frame-based, mainly, ring distortion. The *ortho*-(angular or linear) or *peri*-ring formations stabilize the *Z* or *E* conformers, respectively.

Geometry and reactivity descriptors depict a versatile reactivity pattern, dictated by the nitrosoalkene arm, the quinone ring and the benzene ring (Clar-type or diene-geometry), as virtually individual entities. This is displayed on the ring and the nitrosoalkene arm. The prevalent reaction is an intramolecular *ortho*- or *peri*-cyclization, through the NO group of the nitrosoalkene arm. Further, the former or both may be geometry-controlled primary processes. The NO group unveils a dual reactivity profile, as an electrophile (*ortho*-cyclization mode) or as a nucleophile (*peri*-cyclization mode). Intermolecular primary reaction types and ring susceptibility sites towards electrophiles or nucleophiles are predicted as mainly electrophilic or nucleophilic additions. These predictions are partly experimentally confirmed. The nitrosoalkene arm is highly prone to nucleophilic attack (at the carbon directly bonded to NO group) by virtue of its geometry, its propensity to convert to its oxime stable tautomer and to trigger the ring rearomatization (i.e. “revert to type” aromatic stability).

Both Fukui and Parr functions seemed to be, in general, of comparable credibility. Discrepancies of both descriptors with experimental findings, mainly in reference to substitution reactivity, are attributed to the proclivity of the structure towards intramolecular reactivity. The predictions are en bloc indicative of the strongly electrophilic character of **6–9**.

Clearly, the structure and reactivity profile of **6–9** demonstrates the potential of these structures to provide efficient access to a diverse array of useful molecular scaffolds for a target-oriented pipeline drug discovery and design.

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